



Prof. Dr. Jörn-Carsten Gottwald
Chair, East Asian Politics
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CURRICULUM VITA

CURRENT POSITION

Chair, East Asian Politics, Faculty of East Asian Studies, Ruhr University Bochum, Germany
(since 1 September 2011)

RESEARCH INTERESTS

Domestic sources of China new roles in global politics; politics of financial market regulation in China and Europe; EU-China relations

ACADEMIC LEADERSHIP EXPERIENCE

4.5 years as Vice-Dean and Dean, Faculty of East Asian Studies, RUB; Vice-Speaker of the RUB Research School; Founding Co-Director of AREA Ruhr; Member of the Advisory Board, Merics, Berlin; Coordinator, MBS Asian Business, UCC;

ACADEMIC CAREER

May – July 2019	Visiting Professor at the Department of Political Science, National Chengchi University, Taipei (Taiwan Fellowship)
November 2015 – November 2017	Founding Co-Director of the Alliance for Research on East Asia, Ruhr (AREA Ruhr)
1 st October 2012 – 31 st March 2017	Vice-Dean and Dean, Faculty of East Asian Studies, RUB
Since November 2013	Member of the Advisory Board of the Mercator Institute for China Studies, MERICS, Berlin
30 th April – 1 st June 2013	Dr Seaker Chan Visiting Professor at the School of International Relations and Public Affairs SIRPA, Fudan University, Shanghai

Since 1 st August 2013	Vice-Speaker of the RUB Research School
1 st December 2010- 31 st August 2011	Programme Coordinator of MBS Asian Business (joint programme of UCC with Nanyang Business School, Singapore, and Farmleigh Fellowship Organisation, Singapore)
1 st October 2006 – 31 st August 2011	National University of Ireland, University College Cork Permanent University Lecturer
1 st October 2000 – 30 th September 2006	Assistant- Professor and Acting Chair (2005/2006) for Comparative Political Economy / Chinese Politics and Economics, Department of Political Science, University of Trier
15 th February 2006	Habilitation (post-doc peer reviewed qualification required for full professorships in Germany) University of Trier Thesis on the ‘Politics of Regulating European Financial Services’ [in German] (Academic Mentor: Prof. Dr. Sebastian Heilmann)
9 th November 2000	PhD (Dr.rer.pol.), Free University Berlin, Otto-Suhr-Institute for Political Science, Centre for Chinese and East Asian Politics Thesis on ‘Regional politics and institutional change in Hainan/China’ [in German] (PhD Supervisor: Prof. Dr. Eberhard Sand-schneider)
1 st April 1999- 30 th September 2000	Junior Lecturer (Part-time), Centre for Politics of China and East Asia, Free University Berlin

SELECTED PUBLICATIONS

Monographs

- *China's International Roles: Challenging or Supporting International Order?* Role Theory in International Relations Series No. 4, New York: Routledge, 2016 (editor with Sebastian Harnisch and Sebastian Bersick).
- *Die Politische Gestaltung eines Europäischen Finanzmarktes* [The Politics of Creating a Single European Market in Financial Services]. Baden-Baden: Nomos, 2011
- *The Irish Asia Strategy and her Relations with China* (editor with Fan Hong). Amsterdam: Rozenberg, 2010.
- *Regionalpolitik in der chinesischen Provinz Hainan* [Regional Politics in the Chinese Province Hainan]. Mitteilungen des Instituts für Asienkunde Hamburg No. 356, Hamburg, 2002.
- *Der Chinesische Aktienmarkt* [The Chinese Securities Market] (editor with Sebastian Heilmann). Mitteilungen des Instituts für Asienkunde Hamburg No. 352, Hamburg, 2002.

Publications in Academic Journals (**peer reviewed)

- “From Expectation to Creation: China’s Changing Role in Drafting Futures of Global Economic Governance” (co-authored with Anna Caspari), *Bochum Yearbook of East Asian Studies*, 41/2018, pp251-271**
- “Das Ende der Naivität gegenüber China? Die Reform des europäischen Investitionskontrollregimes“, in *Integration*, 2/19, pp134-148
- “The impact of the global financial crisis on China’s banking sector” (co-authored with Michiel Haasbroek), *The Copenhagen Journal of Asian Studies*, vol 35 (1), available at <https://rauli.cbs.dk/index.php/cjas/article/view/5397> **
- “The domestic sources of China’s new role in reforming global capitalism”, in: *International Politics*, Vol. 52 (2015), No. 6, pp 779-800 (with Sebastian Bersick)**
- “Auf dem Weg in eine Weltordnung mit chinesischen Besonderheiten”, in: *Zeitschrift für Politikwissenschaft / Journal of Political Science*, Vol. (2015), No. 1, pp 107-117)
- “Market Creation by Leninist Means: The Regulation of Financial Services in the People’s Republic of China”, in: *Asian Studies Review*, Vol. 38, No. 4, October 2014, pp 620-638 (with Neil Collins) **
- “How red is China’s red capitalism? Continuity and change in China’s financial services sector during the global crisis”, in: *Asia Pacific Business Review*, Vol. 4 (2013), No.19, pp 444-460, (with Bernadette Andreosso-O Callaghan) **
- “Focused Leadership: China’s New Role in Global Economic Governance”, in: *ASIEN. The German Journal of Asian Studies*, No. 128 (2013), pp 79-92, (with Sebastian Bersick)**
- “The Long Quest for an International Order with Chinese Characteristics: A Cultural Perspective on Modern China’s Foreign Policies“, in: *Pacific Focus*, Vol. 28, No. 2, August 2013, pp 269-293, (with Chris Conolly)**
- “Die VR China nach dem 18. Parteitag: Neue Führung, alte Probleme?“, in: *Trade and Finance*, Spring 2013, pp 5-24
- "Vous avez dit <<puissance civile>>? Dix ans de partenariat stratégique de l'Union européenne avec la République populaire de Chine", in: *L'Europe en formation*, No. 370 (2013), pp 27-46 (with Sebastian Bersick)
- "Von wegen Zivilmacht: 10 Jahre Strategische Partnerschaft der Europäischen Union und der Volksrepublik China", in: *Integration*, No. 4 (2013), pp 290-305 (with Sebastian Bersick) **
- “Expectations and Adaptation: China’s Foreign Policies in a Changing Global Environment”, in: *International Journal of China Studies*, Vol. 2, No.1, April 2011, pp1-26 (with Niall Dugan)**
- “Europe and China: Convergence, Politicization and Assertiveness”, in: *East Asia. An International Quarterly*, Vol. 27, No. 1, March 2010; pp 79-97**
- “Can China lead the World out of the Economic Crisis?” in *Taigde*, June 2009, pp 23-26
- “The European Union and the People’s Republic of China – Who’s Changing Whom?”, in: *Social Europe Journal*, Vol.3, No. 3, Spring 2008, pp 149-154 (with Andrew Cottey)
- “The Economic Development and the Beijing Olympic Games”, in: *International Journal of the History of Sport*, Vol. 25, No. 3, February 28th 2008, pp 339-354**
- „Kleine, feine Unterschiede: Deutschlands Neue Chinapolitik“, in: *Die Politische Meinung*, No. 442/2006, pp 58-62 (with Benedikt Seemann)
- “Der Weg zum Europäischen Finanzmarkt“, in: *Gesellschaft Wirtschaft Politik*, Vol. 56 (2006), No. 1, pp 37-50
- “Stellenabbau trotz Milliardengewinn. Deutsche Großbanken in der Globalisierung”, in: *Blätter für deutsche und international Politik*, No. 5/2005, pp 609-618.

- “China auf dem Weg zur Regulierungsdiktatur?” in: *Internationale Politik*, Vol. 50 (2005), No. 12, pp 46-50 (co-authored with S. Schlichting)
- “Germany’s China policy: trade promotion, human rights and European disunity”, in: *German Foreign Policy in Dialogue*, No. 16, June 2005. pp 7-13
- “Markt und Mao. China zwischen wirtschaftlicher Reform und politischer Stagnation”, in: *Blätter für deutsche und internationale Politik*, 6/2004, pp 713-721.
- “Wahlen, Wall Street, Wählergunst: Wie käuflich ist die amerikanische Politik?”, in: *Blätter für deutsche und internationale Politik*, 4/2004, pp 439-448 (co-authored with Susanne Aschi)
- “Administrative Reformen in der Volksrepublik China: Das Hainan-Model“, in: *Journal of Current Chinese Affairs/ China aktuell*, No. 12 (2002), pp 1413-1421**
- “Pragmatischer Realismus: Chinas Außenpolitik zwischen Hegemonie und Wirtschaftlicher Abhängigkeit“, in: *Blätter für deutsche und internationale Politik*, No. 10 (2001), pp 1230-1240 (with S. Kirchberger)
- “Modernisierung unter Vorbehalt: Zur inneren Entwicklung der Volksrepublik China”, in: *Blätter für deutsche und internationale Politik*, No. 08 (2001), pp 965-975 (with S. Kirchberger)
- “Das Hainan-Projekt: Grenzen staatlicher Reform in der VR China“, in: *Asien. Deutsche Zeitschrift für Politik, Wirtschaft und Kultur*, No. 80, July 2001, pp 64-80 **
- “Democratizing Hainan: Das Konzept „Kleine Regierung, große Gesellschaft“, in: *Weltrends*, No. 20 (1998), pp 89-103**

Contributions to Edited Volumes

- “Global Governance with Chinese Characteristics?” (co-authored with Niall Duggan and Wei Shen), in Anna Triandafyllidou (ed.) *Global Governance from Regional Perspectives*, Oxford University Press, pp 161-180
- “Origins and Dimensions of the Belt and Road Initiative: experimental patchwork or grand strategy?” (co-authored with Robert Pauls), in Robert Taylor and Jacques Jussaud (eds.), *China’s Global Political Economy: Managerial Perspectives*, Routledge, 2018, pp 31-54
- “On a path towards financialisation? The expanding role of finance in China’s growth regime” (co-authored with Robert Pauls and Bernadette Andreosso-O’Callaghan), in Bernadette Andreosso-O’Callaghan/Jacques Jussaud/ Bruna Zolin (eds.), *Economic Change in Asia*, London/New York: Routledge, 2016, pp 29-47.
- “China and the EU: From Strategic Partners to a New Relationship between Major Powers?”, in: Catrina Schläger and Chen Dongxiao (eds.), Alexander Rosenplänter and Zhang Haibing (co-eds.) (2015), *China and the G20 – The Interplay Between an Emerging Power and an Emerging Institution*, Shanghai: Shanghai People’s Publishing House, pp 239-253
- Chinese as: 中国与二十国集团 – 新兴市场国家与全球经济治理 [Zhongguo yu ershiguojituan – xinxing shichang guojia yu quanqiu jingji zhili]
- “China’s roles in international institutions: the case of global economic governance”, in: Sebastian Harnisch, Sebastian Bersick and Jörn-Carsten Gottwald (eds.) (2015), *China’s International roles: Challenging or supporting international order*, New York: Routledge, pp 127-144
- “China’s role evolution: domestic imperatives and foreign expectations”, in: Sebastian Harnisch, Sebastian Bersick and Jörn-Carsten Gottwald (eds.) (2015), *China’s International roles: Challenging or supporting international order*, New York: Routledge, pp 246-259 (with Sebastian Harnisch and Sebastian Bersick)

- “Being well fed: food safety regimes in China”, in: Tetty Havinga, Frans van Warden and Donal Casey (eds.) (2015), *The changing landscape of food governance: Public and private encounters*, London: Edward Elgar Publishing, pp 79-95 (with Neil Collins)
- “How risky is China’s red capitalism? Restructuring in the Chinese financial services sector”, in Robert Taylor (ed.), *The Globalization of Chinese Business. Implications for Multinational Investors*, Oxford: Chandos, pp 149-168 (with Bernadette Andreosso-O’Callaghan)
- “Die Europäische Union und China: Wege zur gemeinsamen Gestaltungsmacht?”, in: Sebastian Harnisch /Joachim Schild (eds.), *Deutsche Außenpolitik und internationale Führung. Ressourcen, Praktiken und Politiken in einer veränderten Europäischen Union*, Baden-Baden: Nomos, pp 316-342 (with Dirk Schmidt and Sebastian Heilmann)
- “Diversity, Pragmatism, and Convergence: China, the European Union and the Issue of Convergence”, in: Pan Zhongyi (ed.) (2012), *Conceptual Gaps in EU-China Relations. Global Governance, Human Rights, Strategic Partnerships*. London: Palgrave MacMillan, pp 35-49 (with Niall Duggan)
- “Adaptive Stress: China and the Global Economic Crisis”, in: Bernadette Andreosso-O’Callaghan and Peter Herrmann (eds.) (2012), *The Transformation of Asia in a Global Changing Environment*, New York: Nova Publishers (with Niall Duggan)
- “Regulating Financial Services in the EU: A Case of Successful Institutional Learning”, in: Hubert Zimmermann and Andreas Duer (eds.) (2012), *Key Controversies in European Integration*, London: Palgrave MacMillan
- “China, ASEM and G 20: In Search of a New Global Financial Architecture”, in: Sebastian Bersick, Paul van der Velde and Bart Gaens (eds.) (2011), *ASEM 8: Towards a New Role in Global Governance*, Amsterdam: Amsterdam University Press, pp 97-109.
- “The Model of Regulatory State in China“, in: David Levi-Faur (ed.) (2011), *Handbook of the Politics of Regulation*. Cheltenham: Edward Elgar, pp 142-156 (with Neil Collins)
- “Hesitant Adaptation: China’s New Role in Global Policies“, in: Hanns W. Maull/Sebastian Harnisch/Catharina Frank (eds.), *Role Model Theory in International Relations*. London: Routledge, 2010, pp 234-251 (with Niall Duggan)
- “Cadre Capitalism Goes Global: Financial Market reforms and the New Role of the People’s Republic of China in World Markets”, in: Louis Brennan (Hg.), *The Emergence of Southern Multinationals and their Impact on Europe*. Basingstoke: Palgrave Macmillan, 2011, pp 283-300.
- “EU-China relations in a new world order: status, dynamics, scenarios”, in: Jing Men and Giuseppe Balducci (eds.), *Prospects and Challenges for EU-China Relations in the 21st Century. The Partnership and Cooperation Agreement*. Brussels: Pieter Lang, 2010, pp 33-52 (with Andrew Cottey).
- “Comparing Irish and Chinese Politics of Regulation“, in: Fan Hong and Jörn-Carsten Gottwald (eds.), *The Irish Asia Strategy and Its China Relations*; Amsterdam: Rozenberg, 2010, pp 143-156 (with Neil Collins)
- “The European Union and China: Status, Issues, Prospects”, in: Klaus Fritsche and Nora Sausmikat (eds.), *Civil Society in European-Chinese Relations. Challenges of Cooperation*. Essen: Asienhaus, 2010 (with Andrew Cottey and Natasha Underhill).
- „Globalisierung und der Konflikt in der Taiwanstraße“, in: Hanns W. Maull (eds.) (2009), *Ostasien in der Globalisierung*, Baden-Baden: NOMOS, pp 229-248
- “The Economic Impact of the Olympics”, in: Fan Hong, Duncan Mackay and Karen Christensen (eds.) (2008), *China Gold*, Great Barrington: Berkshire, pp 109-113.
- “Green Olympics, Green World”, in: Fan Hong, Duncan Mackay and Karen Christensen (eds.) (2008), *China Gold*, Great Barrington: Berkshire, pp 120-122.

- “Business as Usual: Red-Green Policies towards Pacific Asia”, in: Hanns W. Maull (ed.) (2006), *Germany’s Uncertain Power. Foreign Policy of the Berlin Republic*, Basingstoke: Palgrave Macmillan, pp 247-259.
- “Nichts Neues im Osten? Vier Jahre und vier Monate rot-grüne Asienpolitik”, in: Hanns W. Maull (ed.) (2003), *Deutschland im Abseits? Rot-grüne Außenpolitik 1998-2003*, Baden-Baden: Nomos, pp 133-148
- “Opposition“, in: Brunhild Staiger, Stefan Friedrich and Hans-Wilm Schuette (eds.) (2003/2008), *Das große China-Lexikon*, Darmstadt: Primus, pp 547-549 (with Eberhard Sand-schneider)
- “Politische Parteien“, in: Brunhild Staiger, Stefan Friedrich and Hans-Wilm Schuette (eds.) (2003/2008), *Das große China-Lexikon*, Darmstadt: Primus, pp 555-557 (with Eberhard Sand-schneider and Zhang Junhua)
- “Demokratie“, in: Brunhild Staiger, Stefan Friedrich and Hans-Wilm Schuette (eds.) (2003/2008), *Das große China-Lexikon*, Darmstadt: Primus, pp 152-153 (with Eberhard Sand-schneider)
- “Perspektiven des chinesischen Aktienmarktes“, in: Sebastian Heilmann and Jörn-Carsten Gottwald (eds.) (2002), *Der chinesische Aktienmarkt*, Hamburg: Institut für Asienkunde, pp 147-153.
- “Einleitung“, in: Sebastian Heilmann and Jörn-Carsten Gottwald (eds.) (2002), *Der chinesische Aktienmarkt*, Hamburg: Institut für Asienkunde, pp 15-22 (with Sebastian Heilmann)
- “Singapur“, in: Werner Weidenfeld (ed.) (2001), *Den Wandel gestalten – Strategien der Transformation*, vol. 2, Dokumentation der internationalen Recherche, Gütersloh: Bertelsmann, pp 555-560.
- “Philippinen“, in: Werner Weidenfeld (ed.) (2001), *Den Wandel gestalten – Strategien der Transformation*, vol. 2, Dokumentation der internationalen Recherche, Gütersloh: Bertelsmann, pp 549-554
- “Thailand“, in: Werner Weidenfeld (ed.) (2001), *Den Wandel gestalten – Strategien der Transformation*, vol. 2, Dokumentation der internationalen Recherche, Gütersloh: Bertelsmann, pp 362-384 (with Sarah Kirchberger and Sebastian Heilmann)
- “Soziale Sicherungssysteme im Vergleich“, in: Wilhelm Hofmeiste and Josef Thesing (eds.) (1999), *Soziale Sicherheit in Asien*, Bonn: Bouvier, pp 341-389 (with Wolfram Wallraff)
- “Grundmerkmale und Entwicklungen der Sozialpolitik in Asien“, in: Wilhelm Hofmeister and Josef Thesing (eds.) (1999), *Soziale Sicherheit in Asien*, Bonn: Bouvier, pp 13-34 (with Christine Zimmermann-Lössl)

Selected Online Publications

- “EU-China Relations: Understanding Institutionalisation”, Political Studies Association Ireland Annual Conference, Cork, 17 October 2015 (with Andrew Cottey), available at <http://www.ucc.ie/en/media/academic/government/psai/EU-China-Cottey-Gottwald-PSAI-Oct2015-FINAL.pdf>
- “Filling the Leadership Gap: The European Union, China, and the G20”, UACEAS Conference Paper, Leeds, 2 September 2013, available at <http://uaces.org/documents/papers/1301/schild.pdf> (with Joachim Schild)
- “The Politics of China’s Financial Sector Reform and the Relation with Democratization”, paper proposal accepted for the 6th Asian Political and International Studies Association Congress: “Politics and Policies in Changing Asia”, Hong Kong, 31 November / 1 December 2012. (with Michiel Haasbroek)

- “Market-Creation by Leninist Means: the Party-State, Private Authority, and the Regulation of Financial Services in the People’s Republic of China”, New Approaches to Building Markets in Asia Working Paper Series, WP No. 23, Lee Kuan Yew School of Government, Singapore. (with Neil Collins).
- “Governance with Chinese Characteristics? The Political Economy of Financial Services Regulation in China”, Association of Asia Studies AAS Annual Conference, Atlanta, 6 April 2008
- “Creating a Regulatory State within Leninist Authoritarianism: Financial Market Reforms in the PR China”, Annual Conference of the Association of Asian Studies, Boston, 24 March 2007 (with Svenja Schlichting)
- “Market Making and Market Shaping in European Finance: Regulatory Reforms in Germany and the United Kingdom“, International Conference of the German Association of Political Science (DVPW) / Section Politics and Economics, Hagen, 17 December 2005 (with Lotte Frach)
- “The Regulatory State and Financial Services: Recent Developments in the European Union”, ECPR Conference in Budapest, 8-11 September 2005, available at <http://regulation.upf.edu/ecpr-05-papers/jcgottwald.pdf>
- “Allfinanzaufsicht in Frankreich? Die Autorité des Marchés Financiers AMF“, REGEM Analysis 9, May 2004, Trier University; available at www.regem.org (with Valérie Paré)
- “Länderbericht Philippinen“, Bertelsmann Transformations-Index 2003, unter www.bertelsmann-transformation-index.de/122.0.html
- “Finanzmarktreformen als Herausforderung nationaler Entscheidungsfindung: die Bedeutung von Finanzmärkten für Politik und Politikwissenschaft“, REGEM Analysis 2, May 2003, University of Trier, available at <http://www.regem.org>.
- “Democratizing Shenzhen? Westliche Berichte über eine neue “Special Political Zone”, in: Asiabridge, 3/2003, S6.
- “Shenzhen als "Special Political Zone"? China Analysis No. 21, February 2003, available at www.chinapolitik.de
- “Regional Reformpolitik in China: die Modernisierung von Staat und Gesellschaft“, China Analysis No. 18, November 2002, unter www.chinapolitik.de
- *Environmental Policies in China*, Research Report for the Konrad-Adenauer-Foundation, St. Augustin 1998. (with Christine Zimmermann-Lössl and Christiane Laudage)

TEACHING EXPERIENCE

- 17+ years of academic lecturing on Chinese and European Politics and Economics; International Relations; Comparative Politics in East Asia in Germany (FU Berlin; University of Trier; Ruhr University Bochum), Ireland (UCC Cork)
- Visiting Professor at Xiamen University; China (2004); Hamline College, St. Paul, US (2005); China Foreign Affairs University (2008; 2009); University College Dublin (2008; 2009); Fudan University (2013), ESSCA Angers, France (2013; 2014); University of Lancaster (2017); National Chengchi University, Taipei (May-July 2019).
- Two project seminars with the Trade Union Council (Europäischer und Weltkonzernbetriebsrat) of Volkswagen AG on China and the ASEAN in 2015 and 2016
- External Examiner to the MBS Government (2016-17) and MSc Diplomacy and Global Public Policy, UCC, Cork (2016-2019)